



## Agenda Item: **xx**

<b>Meeting</b>	Police and Crime Panel
<b>Date</b>	16 September 2021
<b>Report Title</b>	PCC Risk Register
<b>Presented by:</b>	Phillip Wilkinson OBE, PCC
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### **1.0 Purpose of Report**

1.1 To update the Police and Crime Panel on the PCC Risk Register.

### **2.0 Introduction and Background Information**

2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2021) and the most recent review took place on 12 August 2021. The format for the PCC risk register was updated in anticipation of the PCC elections in May, including identification of a number of new risks.

2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus remove the risk from the register.

2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.

2.4 The register is reviewed on a monthly basis with a summary report on all major and moderate risks reported to meetings of CMB. A full report is submitted to CMB and the OPCC ELT prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The full risk register is considered at monthly meetings of the OPCC ELT. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.

2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.

2.6 The risk appetite remains at 30.

2.7 The PCC Risk Register is attached at Appendix A.

2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable or minor.

2.9 The OPCC Risk register and policy will be reviewed alongside the development of a new Police and Crime Plan 2022-25. The OPCC are grateful for the comments from PCP members on developing our risk approach. These will be incorporated into the review and would welcome ongoing engagement as this develops.

### 3.0 Summary of Risks

3.1 Table 1 below shows where each risk sits on the scoring matrix and which risks are considered to be a major risk (red) or a moderate risk (amber).

3.2 Table 2 provides further information on the risk, listing the risk event for that risk.

3.3 Table 1 and Table 2 are reported to CMB on a monthly basis.

3.4 Paragraph 4 looks at those risks considered major or moderate in more detail.

		IMPACT				
LIKELIHOOD AND CONTROL	25	50	75	100	125	
	20	40	60	80	100	
	16	32	48	64	80	
	15	30	45	60	75	
	12	2	8	48	60	
	10	20	30	40	50	
	9	7	10	4	45	
	8	16	1	3	40	
	6	7	11	5	2	
	5	10	15	20	25	
	4	8	1	5	9	
	3	6	9	12	15	
	2	4	6	8	10	
1	2	3	4	5		

**Table 1: Risk Number and Scoring Matrix**

Risk No	Description	Score
T4	T4 Impact of Covid19 on health and welling of OPCC and Force workforce means P&C Plan is not delivered	36
I8	PCC estate does not meet operational policing requirements	36
T3	Impact of Covid-19 on criminal justice capacity, opportunities for transformation and improving performance	32
T6	Support services do not have the capacity or are not meeting the organisational needs	27
T5	Impact on MTFs and capital strategy of not disposing of estate identified for disposal or not achieving anticipated capital	24
I2	Unable to produce a balanced MTFs that enables the PCC to deliver his P&C Plan priorities	24
T1	Review of funding formula does not provide additional resources or reduces resources to Wiltshire	24
T2	Cost implication of delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN	24
T7	Acting PCC unable to provide new strategic direction	18
I10	Police collaborative arrangements do not deliver anticipated benefits due to ineffective governance and accountability and / or the impacts outweigh business benefits	18

**Table 2: Summary of red and amber risks**

#### 4.0 Key Risks to Consider and notable changes

4.1 *Risk T4: Impact of Covid19 on health and welling of OPCC and Force workforce means P&C Plan is not delivered*

4.2 *Risk I8: PCC estate does not meet operational policing requirements*

4.3 *Risk T3: Impact of Covid-19 on criminal justice capacity, opportunities for transformation and improving performance*

4.4 *Risk T6: Support services do not have the capacity or are not meeting the organisational needs*

4.5 *Risk T5: Impact on MTFs and capital strategy of not disposing of estate identified for disposal or not achieving anticipated capital*

4.6 *Risk I2: Unable to produce a balanced MTFs that enables the PCC to deliver his P&C Plan priorities*

4.7 *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire*

- 4.8 *Risk T2: Cost implication of delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN*
- 4.9 *Risk T7: Acting PCC unable to provide new strategic direction. This PCC election this has now been removed*
- 4.10 *Risk I10: Police collaborative arrangements do not deliver anticipated benefits due to ineffective governance and accountability and / or the impacts outweigh business*

## **5.0 Future Reviews of Risk Register**

- 5.1 Any amendments required following this meeting will be made at the monthly informal review with the Deputy Chief Executive.

## **6.0 Staffing Implications**

- 6.1 Any staffing implications are set out in the associated risk(s).

## **7.0 Financial Implications**

- 7.1 Any financial implications are set out in the associated risk(s).

## **8.0 Legal Implications**

- 8.1 Any legal implications are set out in the associated risk(s).

## **9.0 Sustainability**

- 9.1 Any sustainability issues are set out in the associated risk(s).

## **10.0 Diversity Issues**

- 10.1 Equality and diversity issues are reflected in the respective elements of the risk register.

## **11.0 Contribution to the Police and Crime Plan 2017-2021**

- 11.1 The risk register is aligned to the Police and Crime Plan and identifies risks associated with not delivering the Plan and the priorities set out within it.

## **12.0 Recommendations**

- 12.1 The Police and Crime Panel is invited to note the content of the report and the risk register.

## **Appendices:**

Appendix A – PCC Risk Register

Appendix B – Glossary

<b>ACRONYM</b>	<b>MEANING</b>
APAC <sup>2</sup> E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BAU	Business As Usual
CC	Chief Constable
CFO	Chief Finance Officer
CJ	Criminal Justice
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSR	Comprehensive Spending Review
DPCC	Deputy Police and Crime Commissioner
ESN	Emergency Services Network
GDPR	General Data Protection Regulations
HMCTS	Her Majesty's Courts and Tribunals Service
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HQ	Headquarters
ICT	Information Communication Technology
LA / LAs	Local Authority / Local Authority's
LRF	Local Resilience Forum
MPs	Members of Parliament
MTFS	Medium Term Financial Strategy
NEP	National Enabling Programme
NPCC	National Police Chiefs Council
NPS	National Probation Service
OHU	Occupational Health Unit
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
RASSO	Rape and Serious Sexual Offences
SSOs	Strategic Support Officers
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOTs	Youth Offending Teams

#### **RISK KEY**

risk score 30+ (Major)
risk score 18-29 (Moderate)
risk score 8-17 (Acceptable)
risk score 1-7 Minor